

# Intersection Types

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From “Typed lambda calculus” with Dekkers and Statman co-authors

Part III: “Intersection types” with Dezani, Honsell, Alessi co-authors

## (Un)typed lambda calculus

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### Untyped terms

$\text{term} ::= \text{var} \mid \text{ter ter} \mid \lambda\text{var ter}$   
 $\text{var} ::= \text{x} \mid \text{var}'$

### Types

$\text{type} ::= \text{atom} \mid \text{type} \rightarrow \text{type}$   
 $\text{atom} ::= \alpha \mid \text{atom}'$

Type-assignment to terms  $\lambda \rightarrow$  (Curry [1934])

|  |  |
|--|--|
| $\frac{x:A \in \Gamma}{\Gamma \vdash x : A}$   |  |
| $\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B}$ | $\frac{\Gamma, x:A \vdash M : B}{\Gamma \vdash \lambda x.M : A \rightarrow B}$ |

## Recursive types $\lambda =$

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The  $\lambda \rightarrow$  types are freely generated from the atoms

The recursive types  $\lambda =$  equate certain of these types

The equation  $A = A \rightarrow B$  has as consequence

$\vdash \lambda x. xx : A$

$\vdash (\lambda x. xx)(\lambda x. xx) : B$

There are many ways to make identifications  $\mapsto$  *type algebras*

$$\mathcal{T} = \langle T, \rightarrow \rangle$$

## Intersection types $\lambda\cap$

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### Type-assignment to terms $\lambda\cap$

|  |  |
|--|--|
| $\frac{x:A \in \Gamma}{\Gamma \vdash x : A}$   |  |
| $\frac{\Gamma \vdash M : A \rightarrow B \quad \Gamma \vdash N : A}{\Gamma \vdash MN : B}$ | $\frac{\Gamma, x:A \vdash M : B}{\Gamma \vdash \lambda x.M : A \rightarrow B}$             |
| $\frac{\Gamma \vdash M : A_1 \cap A_2}{\Gamma \vdash M : A_i}$                             | $\frac{\Gamma \vdash M : A_1 \quad \Gamma \vdash M : A_2}{\Gamma \vdash M : A_1 \cap A_2}$ |
| $\frac{\Gamma \vdash M : A \quad A \leq B}{\Gamma \vdash M : B}$                           |  |
| $\frac{}{\Gamma \vdash M : \Omega}$  |  |

## Intersection Type Structures

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Now we work with *intersection type structures*

$$\mathcal{T} = \langle T, \rightarrow, \leq, \cap, \Omega \rangle$$

$$\vdash \lambda x.xx : (A \cap (A \rightarrow B)) \rightarrow B$$

$$\vdash (\lambda x.xx)(\lambda x.xx) : \Omega$$

## Subject Reduction

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In  $\lambda \rightarrow$  one has

$$\left. \begin{array}{l} \Gamma \vdash M : A \\ M \twoheadrightarrow_{\beta} N \end{array} \right\} \Rightarrow \Gamma \vdash N : A$$

This also holds for  $\lambda \cap$ , for many intersection type structures  $\mathcal{T}$

The converse, *subject expansion*, does not hold for  $\lambda \rightarrow$

$$\left. \begin{array}{l} \Gamma \vdash N : A \\ M \twoheadrightarrow_{\beta} N \end{array} \right\} \not\Rightarrow \Gamma \vdash M : A$$

$\vdash \lambda xy.y : A \rightarrow B \rightarrow B$  and  $SK \twoheadrightarrow_{\beta} \lambda xy.y$

but  $\not\vdash SK : A \rightarrow B \rightarrow B$

In fact one 'only' has

$$\vdash SK : (B \rightarrow C) \rightarrow B \rightarrow B$$

## Subject expansion for $\lambda\cap$

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Suppose

$$\vdash P[x := Q] : A$$

where  $P \equiv \dots x \dots x \dots x \dots$

so  $\dots Q \dots Q \dots Q \dots : A$

Each of these occurrences of  $Q$  may need another type  $B_1, B_2, B_3$

But then we can give  $\lambda x.P$  the type  $B_1 \cap B_2 \cap B_3 \rightarrow A$

Hence the  $\beta$ -expansion  $(\lambda x.P)Q$  also the type  $A$

If the number of occurrences of  $x$  in  $P$  is 0,

then we may give to  $\lambda x.P$  the type  $\Omega \rightarrow A$

which is consistent as the *empty* intersection

again

$$\vdash (\lambda x.P)Q : A$$

## Undecidability of inhabitation Urzyczyn [1994]

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For several  $\mathcal{T}$  one has

$\exists M \in \Lambda^\emptyset \vdash^{\mathcal{T}} M : A$  is undecidable,

as a predicate in  $A$ .



## Special Intersection Type Structures

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Let  $\mathcal{T} = \langle T, \rightarrow, \leq, \cap, \Omega \rangle$  be an intersection type structure

$\mathcal{T}$  is *natural* iff

|   |                     |
|---|---------------------|
| $A \leq \Omega$   | $(\Omega)$          |
| $\Omega \leq (\Omega \rightarrow \Omega)$   | $(\Omega\eta)$      |
| $(A \rightarrow B) \cap (A \rightarrow C) \leq A \rightarrow B \cap C$              | $(\rightarrow\cap)$ |
| $A' \leq A \ \& \ B \leq B' \Rightarrow (A \rightarrow B) \leq (A' \rightarrow B')$ | $(\eta)$            |

$\mathcal{T}$  is  $\beta$ -*sound* iff

for all  $k \geq 1$  and all  $A_1, \dots, A_k, B_1, \dots, B_k, C, D \in \mathcal{T}$  one has

|   |
|---|
| $(A_1 \rightarrow B_1) \cap \dots \cap (A_k \rightarrow B_k) \leq (C \rightarrow D) \Rightarrow C \leq A_{i_1} \cap \dots \cap A_{i_p} \ \& \ B_{i_1} \cap \dots \cap B_{i_p} \leq D,$<br>for some $p \geq 0$ and $1 \leq i_1, \dots, i_p \leq k$ |
|---|

$\beta$ -soundness of  $\mathcal{T}$  implies that subject reduction holds in  $\lambda\cap^{\mathcal{T}}$   
(Coppo, Dezani, Honsell, Longo [1984])

## A model for $\lambda\beta$ (Barendregt, Coppo, Dezani [1983])

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Therefore

$$\left. \begin{array}{l} \Gamma \vdash M : A \\ M =_{\beta} N \end{array} \right\} \Rightarrow \Gamma \vdash N : A$$

so (for closed  $M$ )

$$X_M = \{A \mid \vdash M : A\}$$

looks like a  $\lambda$ -model. Indeed, such a set is a *filter* of types.  $\left| \begin{array}{l} A, B \in X \Rightarrow (A \cap B) \in X \\ B \geq A \in X \Rightarrow B \in X \end{array} \right.$

For filters  $X, Y$  one can define application

$$XY = \{B \mid \exists A \in Y (A \rightarrow B) \in X\}$$

is well defined and one has (for many intersection type structures)

$$X_M X_N = X_{MN}$$

Given an intersection type structure  $\mathcal{T}$ , then  $\mathcal{F}^{\mathcal{T}} = \{X \subseteq \mathcal{T} \mid X \text{ is a filter}\}$

is the filter structure over  $\mathcal{T}$ . If  $\mathcal{T}$  is  $\beta$ -sound it is a  $\lambda$ -model.

## Extensionality

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$\mathcal{F}^T$  is extensional iff

for all  $A \in \mathcal{T}$  there are  $\vec{B}, \vec{C}, \vec{D}, \vec{E}$ , with  $\vec{C} = C_1, \dots, C_k$ ,  $k > 0$  not the top, and

$$\begin{aligned} & (B_1 \rightarrow C_1) \cap \dots \cap (B_k \rightarrow C_k) \cap (B_{k+1} \rightarrow \Omega) \cap \dots \cap (B_n \rightarrow \Omega) \leq A \\ & \& A \leq (D_{11} \rightarrow E_{11}) \cap \dots \cap (D_{1m_1} \rightarrow E_{1m_1}) \cap \\ & \quad \dots \\ & \quad (D_{k1} \rightarrow E_{k1}) \cap \dots \cap (D_{km_k} \rightarrow E_{km_k}) \\ & \& B_i \leq D_{i1} \cap \dots \cap D_{im_i} \& E_{i1} \cap \dots \cap E_{im_i} \leq C_i, \\ & \text{for } 1 \leq i \leq k. \end{aligned}$$

It is enough that every type  $A$  one has

$$A \sim (B_1 \rightarrow C_1) \cap \dots \cap (B_k \rightarrow C_k) \text{ or } A \geq (B_1 \rightarrow \Omega) \cap \dots \cap (B_n \rightarrow \Omega)$$

## Filter models

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For these models one has

$$\mathcal{F}^T \models M = N \Leftrightarrow \forall A \in \mathcal{T} [\vdash M : A \Leftrightarrow \vdash N : A]$$

Several known models  $D_\infty$  can be written as  $D_\infty = \mathcal{F}^T$  for some simple  $T$

New models can be constructed in this way, obtaining wanted properties

Meet semi lattices: **MSL** and Algebraic lattices: **ALG**

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A meet semi lattice is a structure with top  $\mathcal{S} = \langle \mathcal{S}, \leq, \cap, \Omega \rangle$ .

An algebraic lattice is a complete lattice  $\mathcal{D} = \langle \mathcal{D}, \sqsubseteq, \sqcup, \top \rangle$ ,

with countably many compact elements

such that every element is the supremum of compacta below it.

The categories **MSL** and **ALG** are equivalent.

|                            |               |                             |
|----------------------------|---------------|-----------------------------|
| <b>MSL</b>                 |               | <b>ALG</b>                  |
| $\mathcal{S}$              | $\rightarrow$ | $\mathcal{F}^{\mathcal{S}}$ |
| $\mathcal{K}(\mathcal{D})$ | $\leftarrow$  | $\mathcal{D}$               |

$\mathcal{K}(\mathcal{D}) = \langle \{d \in \mathcal{D} \mid d \text{ is compact}\}, \leq \rangle$  with  $d \leq e \Leftrightarrow e \sqsubseteq d$

$\mathcal{F}^{\mathcal{S}} = \langle \{X \subseteq \mathcal{S} \mid X \text{ is a filter}\}, \sqsubseteq, \cup \rangle$ . One has

$$\mathcal{D} \cong \mathcal{F}^{\mathcal{K}(\mathcal{D})}$$

$$\mathcal{S} \cong \mathcal{K}(\mathcal{F}^{\mathcal{S}})$$

## Details

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Let  $\mathcal{S}, \mathcal{S}'$  be meet semi-lattices with top

A relation  $\mu \subseteq \mathcal{S} \times \mathcal{S}'$  is an *approximable mapping* between  $\mathcal{S}$  and  $\mathcal{S}'$  iff

for all  $s, t \in \mathcal{S}$  and  $s', t', t'_1, t'_2 \in \mathcal{S}'$

- (a)  $\Omega \mu \Omega'$
- (b)  $t \leq s \mu s' \leq t' \Rightarrow t \mu t'$
- (c)  $s \mu t'_1 \ \& \ s \mu t'_2 \Rightarrow s \mu (t'_1 \cap t'_2)$

$\mathcal{M}(\mathcal{S}, \mathcal{S}') = \{\mu \mid \mu \text{ is an approximable mapping between } \mathcal{S} \text{ and } \mathcal{S}'\}$

This makes **MSL** into a category

On **ALG** one considers Scott continuous maps as morphisms

## Natural Type Structures **NTS** and Natural Lambda Structures **NLS**

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Both categories are being strengthened: An **MSL**  $\mathcal{S} = \langle \mathcal{S}, \leq, \cap, \Omega \rangle$  enriched with an arrow, becomes an intersection type structure

$$\mathcal{S} = \langle \mathcal{S}, \rightsquigarrow, \leq, \cap, \Omega \rangle$$

If we require naturality we obtain the category **NTS**.

A **NLS** is an  $\mathcal{D} \in \mathbf{ALG}$  enriched with operators

$$\begin{aligned} F &: \mathcal{D} \rightarrow \mathcal{D} \rightarrow \mathcal{D} \\ G &: [\mathcal{D} \rightarrow \mathcal{D}] \rightarrow \mathcal{D} \end{aligned}$$

$$\begin{aligned} \text{such that } F \circ G &\sqsupseteq 1_{\mathcal{D} \rightarrow \mathcal{D}} \\ G \circ F &\sqsubseteq 1_{\mathcal{D}} \end{aligned}$$

As before, **NTS** and **NLS** are equivalent:  $\mathcal{D} \cong \mathcal{F}^{\mathcal{K}(\mathcal{D})}$  and  $\mathcal{S} \cong \mathcal{K}(\mathcal{F}^{\mathcal{S}})$ , where

$$\begin{aligned} \mathcal{K}(\mathcal{D}) &= \langle \{d \mid d \text{ is compact}\}, \rightsquigarrow, \leq \rangle \text{ with } d \rightsquigarrow e = G(d \Rightarrow e) \\ \mathcal{F}^{\mathcal{S}} &= \langle \{X \subseteq \mathcal{S} \mid X \text{ is a filter}\}, \subseteq, \cup, F, G \rangle, \text{ with} \end{aligned}$$

$$\begin{aligned} F(X)(Y) &= XY, \\ G(f) &= \uparrow \{a \rightarrow b \in \mathcal{S} \mid b \in f(\uparrow a)\}. \end{aligned}$$

## Classical models

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$D_\infty$  depends on  $D_0$  and the pair  $i_0 : D_0 \rightarrow D_0 \rightarrow D_0, j_0 : [D_0 \rightarrow D_0]$ .

Scott took

$$D_0 = \{0 \sqsubseteq 1\} \quad i_0(d)(e) = d \quad j_0(f) = f(0)$$

For the resulting  $D_\infty$  one has

$$D_\infty = \mathcal{F}^{\text{Scott}}$$

with type structure **Scott** obtained by atoms  $\{1 \leq 0 = \Omega\}$  with  $0 \rightsquigarrow 1 = 1$ .

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Park took

$$D_0 = \{0 \sqsubseteq 1\} \quad i_0(d)(e) = (1 \Rightarrow d)(e) \quad j_0(f) = f(1)$$

For the resulting  $D_\infty$  one has

$$D_\infty = \mathcal{F}^{\text{Park}}$$

with type structure **Park** obtained by atoms  $\{1 \leq 0 = \Omega\}$  with  $1 \rightsquigarrow 1 = 1$ .



## New models

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Coppo, Dezani and Zacchi [1987]

$\{0 \leq 1 \leq \Omega\}$  with  $1 \rightsquigarrow 0 = 0$ ,  $0 \rightsquigarrow 1 = 1$  gives a model  $\mathcal{D} = \mathcal{F}^{\text{CDZ}}$  with

$$M \text{ has a nf} \Leftrightarrow \llbracket M \rrbracket^{\mathcal{D}} \supseteq \uparrow 1$$

This model  $\mathcal{D}$  also can be described in a traditional way

$$D_0 = \{\Omega \sqsubseteq 1 \sqsubseteq 0\}$$

$$i_0(1) = 0 \Rightarrow 1$$

$$i_0(0) = 1 \Rightarrow 0$$

$$j_0(f) = \sqcup \{d \in D_0 \mid i_0(d) \sqsubseteq f\}$$

and one has

$$M \text{ has a nf} \Leftrightarrow \llbracket M \rrbracket^{D_\infty} \supseteq 1$$

$\mathcal{F}^{\nabla M} \models (\lambda x.xx)(\lambda x.xx) = M$  (Fabio Alessi [1991])

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Define

1.  $\mathbb{C}^{\nabla_0} = \{\Omega, \omega\}$
2.  $\nabla_0 = (A \rightarrow B) \cap (A \rightarrow C) \leq (A \rightarrow (B \cap C))$   
 $(A \leq \Omega)$   
 $\Omega \sim (\Omega \rightarrow \Omega)$   
 $\Omega \rightarrow \omega \sim \omega$   
$$\frac{A' \leq A \quad B \leq B'}{(A \rightarrow B) \leq (A' \rightarrow B')}$$
3.  $\mathbb{C}^{\nabla_{n+1}} = \mathbb{C}^{\nabla_n} \cup \{\xi_{\langle n,m \rangle} \mid m \in \mathbb{N}\}$
4.  $\nabla_{n+1} = \nabla_n \cup \{\xi_{\langle n,m \rangle} \sim (\xi_{\langle n,m \rangle} \rightarrow W_{\langle n,m \rangle})\}$

where  $\langle W_{\langle n,m \rangle} \rangle_{m \in \mathbb{N}}$  is any enumeration of the set

$$\{A \mid \vdash^{\nabla_n} M : A\}.$$

Finally set  $\nabla_M$  as follows:

$$\mathbb{C}^{\nabla_M} = \bigcup_{n \in \mathbb{N}} \mathbb{C}^{\nabla_n}; \quad \nabla_M = \bigcup_{n \in \mathbb{N}} \nabla_n.$$

## The strict story: $\lambda$ I-models

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**ALG<sup>s</sup>** same objects as **ALG** but strict maps as morphisms:  $f(\perp) = \perp$ .

**NLS<sup>s</sup>** elements of **ALG** extended with  $F : [\mathcal{D} \rightarrow_s [\mathcal{D} \rightarrow_s \mathcal{D}]]$ ,  $G : [[\mathcal{D} \rightarrow_s \mathcal{D}] \rightarrow_s \mathcal{D}]$ .

**MSL<sup>s</sup>** consisting of  $\mathcal{S} = \langle S, \leq, \cap \rangle$  not necessarily with a top.

**NTS<sup>s</sup>** elements of **NTS<sup>s</sup>** extended with  $\rightsquigarrow$  s.t. it is *restricted natural*

$$(A \rightarrow B) \cap (A \rightarrow C) \leq A \rightarrow B \cap C \quad (\rightarrow\text{-}\cap)$$

$$A' \leq A \ \& \ B \leq B' \Rightarrow (A \rightarrow B) \leq (A' \rightarrow B') \quad (\eta)$$

$$\mathcal{F}_s^{\mathcal{S}} = \{X \subseteq \mathcal{S} \mid X \text{ is a } \textit{strict filter} \text{ over } \mathcal{S}\} \quad (\text{allowing the empty filter})$$

$$\mathcal{K}^s(\mathcal{D}) = \mathcal{K}(\mathcal{D}) / \perp$$

As before, **NTS<sup>s</sup>** and **NLS<sup>s</sup>** are equivalent

$$\mathcal{D} \cong \mathcal{F}_s^{\mathcal{K}^s(\mathcal{D})} \text{ and } \mathcal{S} \cong \mathcal{K}_s(\mathcal{F}_s^{\mathcal{S}})$$

In this way models of the  $\lambda$ I-calculus can be obtained.

A proper  $\lambda$ I-model (Honsell, Lenisa [1999])

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Define the intersection type structure

$$\mathcal{S} = \langle \Pi(\{\varphi, \omega\}) / \sim, \leq, \cap, \rightarrow \rangle$$

with  $\omega \leq \varphi$  and  $(\varphi \rightarrow \omega) \sim \omega$ ,  $(\omega \rightarrow \varphi) \sim \varphi$ .

Then

$\mathcal{F}_s^{\mathcal{S}}$  is a  $\lambda$ I-model.

One has

$\text{Th}(\mathcal{F}_s^{\mathcal{S}})$  is the unique maximal sensible  $\lambda$ I-theory.

It is extensional and equates all terms without nf.